PCT

(30) Priority Data:

9800220.7

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:		(11) International Publication Number:	WO 99/34909	
B01J 19/00, F28F 27/02	A1	(43) International Publication Date:	15 July 1999 (15.07.99)	

(21) International Application Number: PCT/GB99/00018

(22) International Filing Date: 5 January 1999 (05.01.99) IE, IT, LU, MC, NL, PT, SE).

GB

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6 January 1998 (06.01.98)

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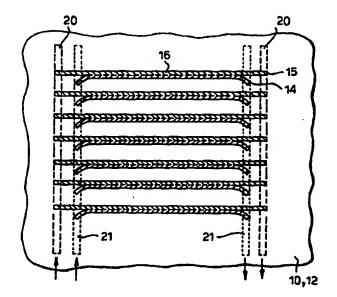
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(81) Designated States: AU, BR, CA, IL, JP, RU, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR,

Published

With International search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: METHOD OF FORMING INTERCONNECTIONS BETWEEN CHANNELS AND CHAMBERS



(57) Abstract

A method of forming interconnections between channels and/or chambers for use in a micro-fluidic device. Two planar substrates (10, 12) (usually glass and silicon respectively) having etched channels (14, 15) are bonded together to form volmes (16) where the channels (14, 15) overlap. A manifolding cut (20) is then made through the glass to intersect channels (15) in the silicon layer. Another cut (21) is made through the glass, intersecting glass channels (14) only. An organic solution is passed into cut (20), and flows through silicon channels (15). An aqueous solution is passed into cut (21), and flows through glass channels (14). The solutions meet in the region (16), where matter is transferred from one solution to the other.

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1 Method of Forming Interconnections between Channels and Chambers

This invention relates to a method of forming interconnections between channels and 5 chambers, and more particularly to such a method for use in micro-engineered fluidic devices.

Micro-engineered devices may be used to transport and control fluid flow. Such devices may be used in a variety of applications including chemical and physical analysis, 10 chemical processing, and heat transfer. Micro-engineered fluidic devices for use in the transport of immiscible fluids are described in International Patent Applications WO 96/12540 and WO 96/12541.

- The advantages of using micro-fluidic devices containing channels and chambers are: 15
 - 1. Only small sample sizes are needed for analysis; and
 - 2. Transport distances for chemical processing of fluids are usually small; and
 - 3. Heat transfer is improved.

Channels and chambers are usually formed on planar substrates, and are hereinafter 20 referred to as "channels". There is a need for the linking of individual channels for applications which require a high fluid throughput, as fluid flow in conventional microengineered devices may be very low. Channels may be produced by forming grooves or depressions on one or more faces of a substrate. Such substrates may then be bonded together. Vias may also be formed in the substrate, and may connect to channels formed 25 therein.

A number of known methods are used to form channels on the faces of planar substrates. These include:-

- 1. Etching using mask patterns defined by a lithographic process such as 30 photolithography, screen printing, or direct writing; or
 - 2. Cutting, milling, or drilling substrates by spark erosion, or laser ablation; or
 - 3. Deposition or building up of layers on substrates according to patterns defined by lithographic processes; or
- 4. Electroplating through printing or photo-defined mask layers, including the use of Xray lithography, as in LIGA (Lithographic Galvanoformung Abformung); or
 - 5. The build up of substrates by bonding lamina, some of which may be cut to define a pattern of grooves or depressions; or
 - 6. Mould replication or stamping of substrates defined by any of the above processes.

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A common process is to form a fluid-handling micro-engineered device by anodic bonding of glass and silicon substrates having channels formed on one or both of the substrates.

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Arrangements are known in the prior art for forming external connections and interconnections in substrates. External connections to channels are achieved by vias passing through to external faces of substrates, or by having channels extend to the edge of one or more of the substrates. Vias may be formed through one or more of the substrates to form interconnections between the channels. For simple devices with few external connections it is adequate to employ these methods. Methods for connecting capillaries to channels extending to the edge of a device composed of one or more substrates are described in UK Patent Application No. 9625491.7.

It is well established for electronic devices, that minimisation of the number of external input/output connections to arrays of charge pathways on a single substrate requires the routing of connections perpendicular to the substrate plane. This is achieved through the use of vias. The same topological requirements apply to fluidic devices where various feed and exit pathways connecting to channels should not intersect with each other, other than at the channel itself. For complex devices, a large number of external connections may be required.

Devices with vias and connections in multiple planar substrates correspond to the topological forms used to provide the dense connectivity required for integrated electronic devices. Within such electronic devices, charge pathways are defined in solid, self-supporting materials. The layers of material are usually thin enough to enable sufficient planarity to be maintained, allowing deposition, photolithography and etching to be carried out with good results.

In micro-fluidic devices, channels and vias are generally of larger dimensions than corresponding pathways and vias in integrated electronic devices. Channels are not self-supporting, as are the pathways in integrated electronic devices, because the formation of a channel, or a via, involves removing a volume of the substrate. Manufacture of channels and vias therefore contributes to fragility of the device, lowering yield during fabrication. This is especially true for vias, as they are formed perpendicular to the substrate plane. In addition, the difficulty of etching high aspect ratio vias (that is, a via whose length through the substrate is greater than its width) requires that the lateral dimensions of vias in the substrate plane are similar to (or often greater than) the

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thickness of the substrate in which they are formed. This last constraint may be overcome by use of techniques such as laser ablation or trench etching, but such techniques are expensive and not widely available.

It is particularly difficult to photo-define and etch mask layers within the area of a narrow channel formed by a previous fabrication sequence in a micro-fluidic device. This constraint dictates that channels for micro-fluidic devices fabricated by conventional procedures are produced with a relatively low density. This is especially true for vias. Consequently, the cost per device is increased.

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Typically, the density of channels formed on a substrate cannot be greater than that indicated in Figure 1, where a is of the order of the thickness of the substrate. This applies to micro-contactor arrays with channels connecting to vias produced by anisotropic or isotropic etching of a substrate. It is therefore desirable that a way of allowing interconnection and manifolding of channels is found, without the problems of low density of channels, fragility of the device, or poor manufacturing yield.

An aim of the invention is to overcome the aforementioned problems by providing a method of constructing interconnections and/or manifolds in substrates, particularly, but not exclusively, for use in micro-fluidic devices.

According to a first aspect of the invention there is provided a method of connecting channels formed in at least one substrate by making at least one cut in at least one external face of said substrate, the cut being of sufficient depth to intersect one or more of said channels such that only the required interconnections are made by each cut.

According to a second aspect of the invention there is provided a method of connecting channels including a) forming at least one flow path in a first substrate; b) forming at least one flow path in a second substrate; c) contacting the first and second substrates to form at least one channel; and d) forming at least one cut in an external face of the first substrate, the cut being of sufficient depth to intersect one or more of the channels such that, in use, a fluid passing along a fluid pathway defined by the cut may pass into the or each channel.

Preferably the substrates are bonded before the cuts are formed therein, in order to maintain the physical integrity of a device incorporating the invention. The substrates

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may be bonded via anodic or thermal bonding, or by gluing using suitable adhesives such -- as epoxy, acrylic, or UV curing adhesives.

The method may also include the step of making at least one cut in an external face of the second substrate, the cut being of sufficient depth to intersect one or more of said channels such that only the required interconnections are made by the at least one cut.

The method preferably includes the steps of a) forming at least one flow path in a third substrate and b) joining the third substrate to the first and second substrates.

Advantageously the at least one cut formed in the first substrate and the at least one cut formed in the second substrate are off-set in order to maintain the physical integrity of a device incorporating the invention.

The at least one cut is preferably formed substantially perpendicular to the plane of the substrate.

Cuts may be produced by micro-engineering sawing methods, which can be controlled to within 10μ m. Alternatively, it is possible to use mechanical milling, as long as the equipment used is of relatively high precision. Laser ablation, or photolithography and chemical etching may also be applied to produce the manifolding channels. Although, in general, mechanical cutting or milling systems are preferred.

According to a further aspect of the invention there is provided an apparatus for transporting at least one fluid, the apparatus including at least a first substrate and a second substrate which have portions removed therefrom, so as to define at least one channel, the at least one channel being interconnected according to the aforedescribed method.

Preferably the apparatus further includes a third substrate. The third substrate may be situated between the first and second substrates, or adjacent the second substrate.

Preferably the first substrate is glass.

Preferably the second substrate is silicon.

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If the third substrate is situated between the first and second substrates, the third substrate -- is preferably a mesh of, for example, copper or steel. Alternatively, it may include a polymer. The third substrate is preferably between 1 and 10 micrometres thick.

Where the third substrate is situated adjacent the first or the second substrates, the third substrate is preferably glass. The third substrate may have portions removed therefrom so as to define at least one channel.

Preferably the substrate is a square approximately 5×10^{-2} m by 5×10^{-2} m.

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The channels may be substantially straight or curved.

Preferably the channels are between 1×10^{-2} m and 5×10^{-2} m in length.

15 The invention may be incorporated into a micro-fluidic (or other) device.

Fluids used within the device may either be miscible or immiscible. Aqueous and/or organic material may be used within the device.

20 Preferred embodiments of the invention will now be described, by way of example only, with reference to the accompanying Figures, wherein:-

Figure 1 shows a plan view of channels and chambers formed on a substrate, known in the prior art;

Figure 2 shows a cross-section of two planar substrates containing channels;

25 Figures 3a and 3b show a cross-section of two bonded planar substrates containing channels;

Figures 4a and 4b show a plan view of two bonded planar substrates shown in Figure 3;
Figures 5a and 5b show a cross-section along line v-v' of Figure 4a and 4b respectively,
where manifold cuts have been made in the substrates, in accordance with the present
invention;

Figures 6a and 6b show a plan view of two bonded planar substrates shown in Figures 3a to 5a and Figures 3b to 5b respectively, where manifold cuts have been made in the substrates;

Figure 7 shows a cross-section of two bonded planar substrates along line v-v' of Figure 6a;

Figure 8 shows a cross-section of a further embodiment of the invention showing two bonded planar substrates containing channels, where manifold cuts have been made in one substrate only;

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Figure 9 shows an oblique view of the embodiment shown in Figure 8;

Figure 10 shows an oblique view of a further embodiment of the present invention;

Figure 11 shows a plan view of a device incorporating the invention;

Figure 12 shows a further embodiment of the invention showing two bonded planar substrates containing two sets of channels, where manifold cuts have been made to link one set of channels only;

Figure 13a shows a cross-section of a laminated structure;

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Figure 13b shows a cross-section of a laminated structure having chambers formed therein;

Figure 14a is a plan view of the laminated structures of Figure 13 showing the positions of manifold cuts;

Figure 14b is a plan view of a laminated structure, showing the positions of manifold cuts at an angle to one another; and

Figures 15a to 15d show plan views of the laminated structures of Figure 13 having manifold cuts.

Referring to Figure 2, channels 14 are formed on a surface of planar substrate 10, which in this case is glass. Channels 15 are also formed on a surface of planar substrate 12, which is this case is silicon. Vias needed to interconnect the individual channels are not formed at this stage. Substrate layers 10 and 12 are then bonded together to form channels 14 and 15, as shown in Figures 3a and 3b. The substrates may be bonded using epoxy adhesive such as EpoTekTM 353 ND, or UV curing acrylic adhesive such as Norland Optical adhesive type 81.

25 From Figures 3a and 4a, it can be seen that the channels 14 in substrate 10 overlap channels 15 in substrate 12 to provide regions where fluids flowing in the respective channels 14, 15 contact at an interface 18. In an alternative embodiment, however, channels 14 and 15 do not overlap and there is no interface between the channels. This embodiment is shown in Figures 3b and 4b.

Interconnections or manifolds to groups or arrays of channels 14 or 15 are formed by cuts 19, 20 made into one or more of the external faces of the bonded substrates 10 and 12, as illustrated by Figures 5 and 6. Figures 5a and 6a show bonded substrates where channels

14 and 15 overlap to form an interface 18, whereas Figures 5b and 6b shows bonded substrates where channels 14 and 15 do not overlap. The position and depth of these cuts is such that only required connections to respective channels 14 or 15 are made by each cut. Cuts 20 made to substrate 10 should not extend deeply into substrate 12 so that the

physical integrity of the assembled device is maintained. For channels which are 40

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micrometres in depth, cuts made to an accuracy of approximately 10 micrometres are adequate.

Two methods for forming manifolds will now be discussed. Figure 7 shows a first embodiment, where the manifolds 19 and 20 are cut in both substrate layers 12 and 10 respectively, and are offset. Cut 19 is made through substrate 12 only, to intersect channels 15. Cut 20 is made through substrate 10 only, to intersect channels 14. Figure 8 shows a further embodiment of the invention, where manifolds 20 and 21 are cut in the same substrate 10. Cut 20 is made through substrate 10, and is deep enough so that it intersects with channels 15 in substrate 12. Cut 21 is also made through substrate 10, but its depth is less than that of cut 20, so that it only intersects with channels 14 in substrate 10.

Where both substrates contain cuts, as in Figure 7, the cuts should be offset, or positioned so as not to weaken the device. Formation of the interconnections generally involves at least some of the cuts through a substrate sufficiently to intersect the channels 14, 15 to be linked, and not continuing through to the interface 18 between bonded substrates 10, 12.

Where one of the substrates is transparent (substrate 10 in this case), it is advantageous to form all the manifold cuts through the transparent substrate, as in Figures 8 and 9. This allows more precise alignment of the cuts with the channels on further substrate layers.

The examples shown diagrammatically in Figures 2 to 9 are that of a micro-contactor. Similar steps may be taken to form other micro-fluidic devices from various planar substrates, provided that such substrates can be bonded and accurately cut. For example, in Figure 10, substrate 11 having manifold cuts 22, is bonded to substrates 10 and 12, which themselves contain manifold cuts 19 and 20. The resulting device has an increased manifold cross-section, and flow capacity is increased.

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The depth of cuts 19 is not critical, as long as the cuts are deep enough to intersect with the channel which is to be connected with the cut, and does not pass far enough into a further substrate to weaken the assembly. For a micro-contactor, it is required that the manifolding connections do not cross the interface between the channels 14 and 15. If manifolding connections cross the interface, fluids tend to mix, and establishment of a stable fluid interface position is prevented. This is important for manifolding positions 21 shown in Figure 8, where pairs of contactor channels 14 and 15 intersect the plane of the manifold cut. Here it must be ensured that the depth of the cut is limited to a range

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sufficient to intersect predetermined channels 14 and 15 etched into the substrate being -- cut, while not allowing the cut to reach the interface between the substrates.

The restriction on the depth of manifold cuts applies to structures other than microcontactors.

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The method of the invention may also be applied to any fluidic structure where it is required that channels to be interconnected may not intersect another set of channels. Here, channels 14 and 15 are formed in a layer of substrate 10. Channels 14 are deeper than channels 15, so that manifolding cuts made to connect channels 14 do not link channels 15. An example of this is shown in Figure 12. This concept may be of use in a heat exchanger, for example. In addition to channels 14, 15 carrying fluids, the channels may be an electronically conducting conduit, or a light guide or other structure situated between the channels to be connected, but which must remain isolated from the manifolding.

Figures 13a and 13b show a cross-section of a bonded, layered structure in which manifold cuts have not yet been formed. The bonded structure shown in Figure 13a includes a thin middle layer 30 of substrate, the central portions of which are bonded to an upper substrate layer 32a, and a lower substrate layer 32b. The outer regions of one side of layer 30 are bonded to a first substrate layer 10, and the outer regions of the other side of layer 30 are bonded to a second substrate layer 12. Material 32 (which is in contact with the central portions of layer 30) can be dissolved or melted out at a later stage. Layers 32a,b may, for example, contain a polymer or a polymer/wax mix, a metal such as copper, or an alloy such as steel which may be in the form of a mesh. The layers of material 32a and 32b are further bonded to respective layers of substrate 10 and 12, such that when the material 32 is removed, respective chambers 34a and 34b are formed in the substrate layers 10 and 12.

The laminated structure may, alternatively, be formed by bonding the outer regions of one side of layer 30 to a first substrate layer 10, and on the other by a second substrate layer 12, the substrate layers 10 and 12 having cut-away portions so as to form chambers 34a,b without the need for layers 32a,b. Such a laminated structure is shown in Figure 13b. This structure provides less support than that shown in Figure 13a, but the outer uncut layers 10,12 provide physical stability to the whole structure during assembly and bonding, thus reducing distortion of the layered structure.

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Figure 14a shows a plan view of the laminated structures of Figures 13a and 13b, -indicating the positions of the manifolding cuts 19 and 20. In this structure, the
manifolding cuts 19 and 20 run parallel to each other. However, the manifolding cuts
may be made so that they are at an angle to each other, as shown in Figure 14b. The angle
should be high enough in order that the device is not weakened.

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Figures 15a to 15d show cross-sections of the laminated structures of Figures 13a and 13b having various manifolding cuts formed therein. Figure 15a shows a laminated structure having manifolding cuts 20 and 19 formed in substrates 10 and 12 respectively. In this case, the cuts are made as far as layers 32a,b. The material 32 is then removed (as previously discussed) in order to form chambers 34a,b which are connected to channels 20 and 19. Cuts 19 and 20 are offset so as not to weaken the device. Figure 15c shows a layered structure having manifolding cuts 20 and 21 made in the external face of substrate 10. Cut 20 extends to the upper layer 32a, and cut 21 extends (via layer 30) to the lower layer 32b. When the material of layers 32a and 32b is removed, respective chambers 34a and 34b are formed. Chambers 34a and 34b are linked via manifolding cuts 20 and 21, respectively.

Figure 11 shows a schematic plan view of a device having a number of channels 14, 15 and manifold cuts 20, 21. The structure of the device is the same as that shown in Figures 8 and 9. Organic material passes through channels 15 formed in silicon substrate 12 via manifolding cuts 20. Cuts 20 pass through the glass substrate 10 and silicon substrate 12 in order to intersect channels 15 formed in the silicon substrate 12. Aqueous material flows in channels 14 formed in glass substrate 10 via manifolding cuts 21. Here the organic material used in a mixture of Xylene and TBP (tributylphosphate) containing dissolved iron ions (Fe³⁺), and the aqueous material is hydrochloric acid. Where channels 14 and 15 meet, the aqueous and organic materials come into contact. There is a transfer of iron ions from the organic material to the aqueous material. This reaction is an example of a liquid-liquid solvent extraction process and is used, for example, in the pharmaceutical and nuclear industries. The organic and aqueous materials then exit from the device.

Devices produced using this method may have 120 micro-contactors of length 14mm, formed in an area of substrate 50 mm square. This is approximately ten times the density of channels achievable using past methods of etching through a substrate and using structures such as those shown in Figure 1 having vias 2 and channels 4. Fluid flow and transfer rates for devices produced using the present invention have improved by a factor of 120 over the methods in the prior art.

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In summary, devices manufactured according to the method of forming interconnections of channels as herein described has the following advantages:

- 1. better utilisation of space/higher packing density of channels than other methods;
- 5 2. improved yield as the channels formed in one substrate are supported by another substrate;
 - 3. improved yield due to the substrates being less likely to break during the bonding process than using other methods; and
 - 4. improved strength of the assembled device.

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The invention has been described by way of a number of embodiments and it will be appreciated that variation may be made to these embodiments without departing from the scope of the invention.

11 Claims

- Method of connecting channels formed in at least one substrate by making at least one
 cut in at least one external face of said substrate, the cut being of sufficient depth to
 intersect one or more of said channels such that only the required interconnections are
 made by each cut.
- 2. Method of connecting channels including a) forming at least one flow path in a first substrate; b) forming at least one flow path in a second substrate; c) contacting the first and second substrates to form at least one channel; and d) forming at least one cut in an external face of the first substrate, the cut being of sufficient depth to intersect one or more of the channels such that, in use, a fluid passing along a fluid pathway defined by the cut may pass into the or each channel.

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- 3. Method according to claim 2 wherein substrates are bonded before the cuts are formed therein.
- 4. Method according to claims 2 or 3 including the step of making at least one cut in an external face of the second substrate, the cut being of sufficient depth to intersect one or more of said channels such that only the required interconnections are made by the at least one cut.
 - 5. Method according to claim 4 including the steps of a) forming at least one flow path in a third substrate and b) joining the third substrate to the first and second substrates.
 - 6. Method according to any preceding claim wherein the at least one cut formed in the first substrate and the at least one cut formed in the second substrate are off-set.
- 7. Method according to any preceding claim wherein the at least one cut is formed substantially perpendicular to the plane of the substrate.
 - 8. Method according to any preceding claim wherein the cuts are formed by sawing.
- Method according to any preceding claim wherein the cuts are formed by mechanical milling.

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- 10.Method according to any preceding claim wherein the cuts are formed by laser ablation.
- 5 11. Method according to any preceding claim wherein the cuts are formed by photolithography.
 - 12. Method according to any preceding claim wherein the cuts are formed by chemical etching.
- 13. Apparatus for transporting at least one fluid, the apparatus including at least a first substrate and a second substrate which have portions removed therefrom, so as to define at least one channel, the at least one channel being interconnected according to the method of claims 1 to 12.
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 14. Apparatus according to claim 13 further including a third substrate situated between the first and second substrates.
- 15. Apparatus according to claim 13 further including a third substrate situated adjacent the second substrate, the third substrate having portions removed therefrom so as to define at least one channel.
 - 16. Apparatus according to claim 13 wherein the first substrate is glass.
- 25 17. Apparatus according to claim 13 wherein the second substrate is silicon.
 - 18. Apparatus according to claim 14 wherein the third substrate is a mesh.
 - 19. Apparatus according to claim 14 wherein the third substrate includes a polymer.
 - 20. Apparatus according to claim 15 wherein the third substrate is glass.
 - 21. Apparatus according to any of claims 13 to 20 wherein the substrate is a square approximately 5 x 10⁻² m x 5 x 10⁻² m.
 - 22. Apparatus according to claim 13 wherein the channels are substantially straight.
 - 23. Apparatus according to claim 13 wherein the channels are substantially curved.

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- 24. Apparatus according to claim 13 wherein the channels are between 1 x 10^{-2} m and 5 x $^{-1}$ 10^{-2} m in length.
- 25. A micro-fluidic device incorporating the apparatus as claimed in claims 13 to 24.
- 26. Method as substantially described herein with reference to the Figures.

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27. Apparatus as substantially described herein with reference to the Figures.

Fig.1.

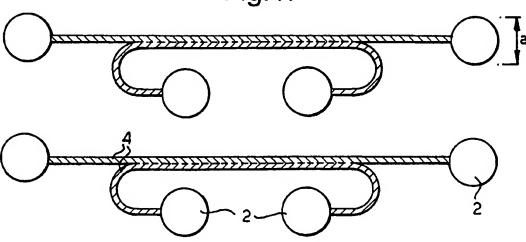
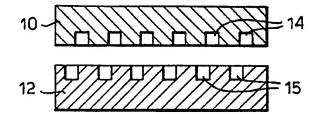
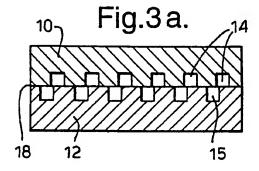
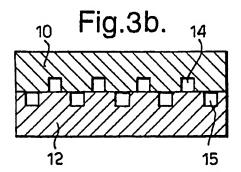
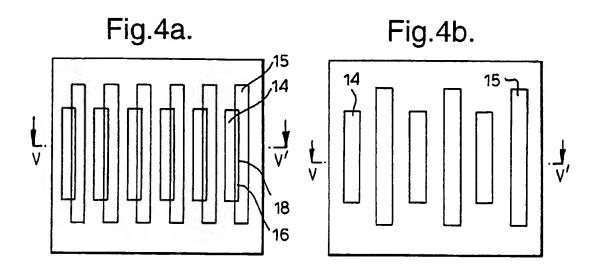


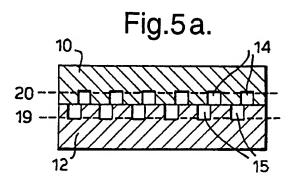
Fig.2.

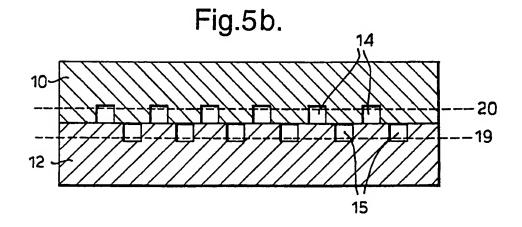












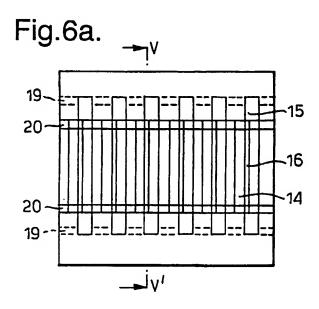
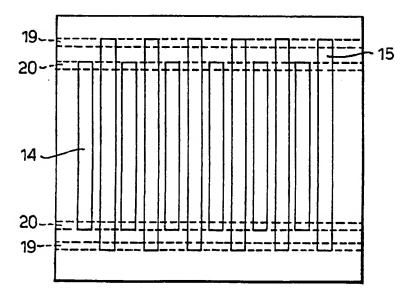
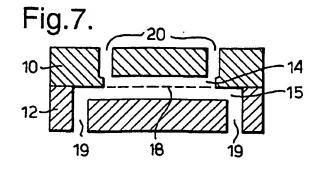
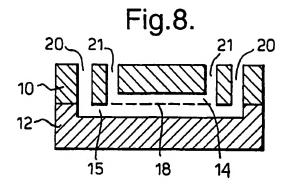


Fig.6b.





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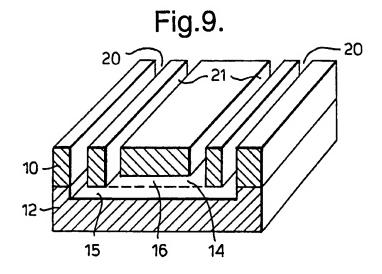
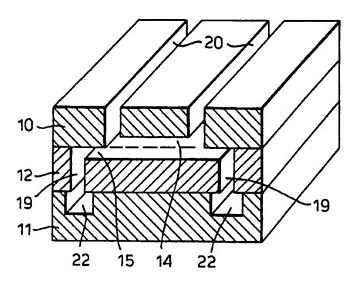


Fig.10.



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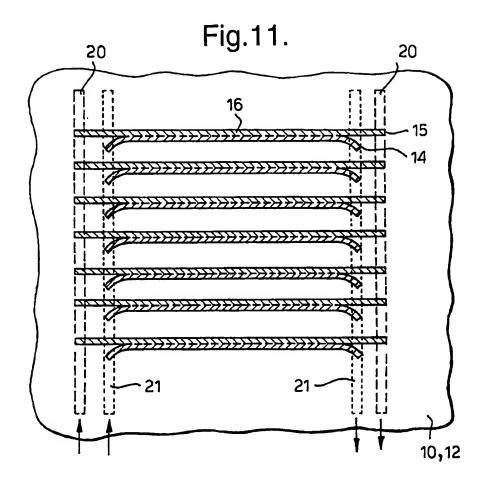


Fig.12.

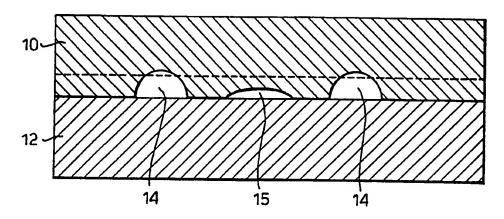


Fig.13a.

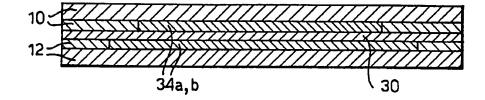
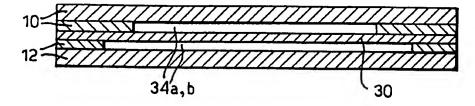


Fig.13b.



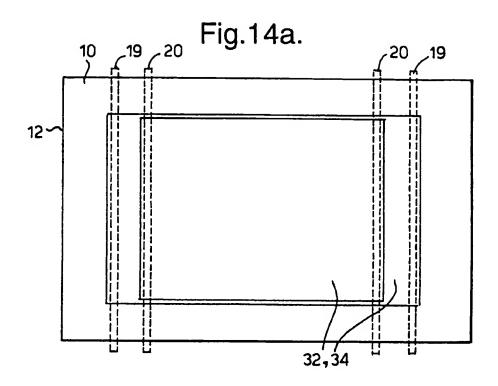
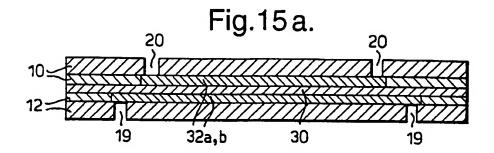
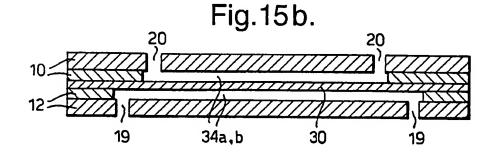
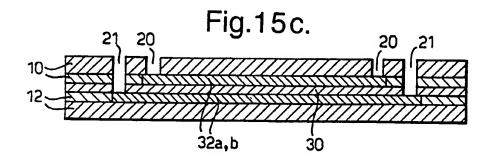


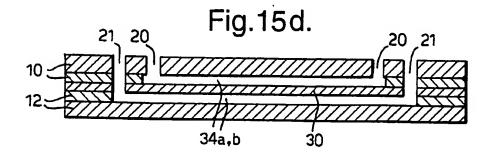
Fig. 14b.

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INTERNATIONAL SEARCH REPORT

Inte (one) Application No PCT/GB 99/00018

A. CLASSIFICATION OF SUBJECT MATTER IPC 6 B01J19/00 F28F F28F27/02 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the International search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category 9 Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X DE 195 11 603 A (SCHWESINGER) 1,2,4, 2 October 1996 6-13,1617,21-25 see column 4, line 49 - line 55 see column 6, line 67 - column 7, line 14 see figures 2,10,11 X WO 95 05008 A (WILLEKE) 16 February 1995 1,6-10, see abstract see page 16, paragraph 2 - page 17, paragraph 2 see figure 8 -/--Further documents are listed in the continuation of box C. | X | Patent family members are fisted in annex. Special categories of cited documents: later document published after the international filing date or priority date and not in conflict with the application but clied to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular retevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention filling date cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(e) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 26 April 1999 06/05/1999 Name and mailing address of the ISA Authorized officer European Patent Offico, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, SLEIGHTHOLME, G Fax: (+31-70) 340-3016

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